

IN RE APPLICATION OF:

Krzysztof Matyjaszewski et al.

:

ATTN: BOX MISSING PART

SERIAL NUMBER: 08/414,415

:

FILED: March 31, 1995

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FOR: NOVEL (CO)POLYMERS AND A
NOVEL POLYMERIZATION PROCESS
BASED ON ATOM (OR GROUP) TRANSFER
RADICAL POLYMERIZATION

SUBMISSION OF DECLARATION IN
COMPLIANCE WITH 37 CFR 1.53(d)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Responsive to the notification dated May 16, 1995, and in accordance with the provisions of 37 CFR 1.53(d), Applicant submits herewith a Declaration under 37 CFR 1.63. Please charge Deposit Account No. 15-0047 for Late filing of the Declaration. A duplicate of this sheet is enclosed.

The Declaration enclosed herewith contains the following information:

- Name of Inventor
- Attorney Docket Number
- Filing Date

The Declaration thereby adequately identifies the above-identified application in accordance with 37 CFR 1.63, as set forth in MPEP Section 601.01.

In light of the foregoing, the application is deemed to be complete and in condition for examination, and such favorable action is earnestly solicited.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND
MAIER & NEUSTADT, P.C.



Norman F. Oblon
Attorney of Record
Registration No. 24,618

Andrew D. Fortney, Ph.D.
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ADF
Docket No. 7057-001-95



Declaration Of Attorney and Petitioner

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WE (I) the undersigned inventor(s), hereby declare(s) that:

My residence, post office address and citizenship are as stated below next to my name,

We (I) believe that we are (I am) the original, first, and joint (sole) inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled

NOVEL (CO)POLYMERS AND A NOVEL POLYMERIZATION PROCESS BASED ON ATOM

(OR GROUP) TRANSFER RADICAL POLYMERIZATION

the specification of which

☐ is attached hereto.

☒ was filed on MARCH 31, 1995 as

Application Serial No. 08/414,415

and amended on

☐ was filed as PCT international application

Number

on

and was amended under PCT Article 19

on (if applicable).

We (I) hereby state that we (I) have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We (I) acknowledge the duty to disclose information known to be material to the patentability of this application as defined in Section 1.56 of Title 37 Code of Federal Regulations.

We (I) hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed. Prior Foreign Application(s)

Application No.	Country	Day/Month/Year	Priority Claimed
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No

We (I) hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

We (I) hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Serial No.

Filing Date

Status (pending, patented,
abandoned)

And we (I) hereby appoint: Norman F. Oblon, Registration Number 24,618; Marvin J. Spivak, Registration Number 24,913; C. Irvin McClelland, Registration Number 21,124; Gregory J. Maier, Registration Number 25,599; Arthur I. Neustadt, Registration Number 24,854; Richard D. Kelly, Registration Number 27,757; James D. Hamilton, Registration Number 28,421; Eckhard H. Kuesters, Registration Number 28,870; Robert T. Pous, Registration Number 29,099; Charles L. Gholz, Registration Number 26,395; Vincent J. Sunderdick, Registration Number 29,004; William E. Beaumont, Registration Number 30,996; Steven B. Kelber, Registration Number 30,073; Robert F. Gnuse, Registration Number 27,295; Jean-Paul Lavalleye, Registration Number 31,451; William B. Walker, Registration Number 22,498; Timothy R. Schwartz, Registration Number 32,171; Stephen G. Baxter, Registration Number 32,884; Martin M. Zoltick, Registration Number 35,745; Robert W. Hahl, Registration Number 33,893; and Richard L. Treanor, Registration Number 36,379; our (my) attorneys, with full powers of substitution and revocation, to prosecute this application and to transact all business in the Patent Office connected therewith; and we (I) hereby request that all correspondence regarding this application be sent to the firm of OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C., whose Post Office Address is: Fourth Floor, 1755 Jefferson Davis Highway, Arlington, Virginia 22202.

We (I) declare that all statements made herein of our (my) own knowledge are true and that all statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Krzysztof MATYJASZEWSKI
NAME OF FIRST ~~SOME~~ INVENTOR

Residence: 9 Queens Court
Pittsburgh, Pennsylvania 15238

[Signature]
Signature of Inventor

Citizen of: USA
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6-14-95
Date

Residence: 240 Melwood Avenue, Apt. D7

Pittsburgh, Pennsylvania 15213

Signature of Inventor

Citizen of: Chinese

Post Office Address: SAME AS ABOVE

Date _____

Residence:

NAME OF THIRD JOINT INVENTOR

Citizen of:

Signature of Inventor

Post Office Address:

Date _____

Residence:

NAME OF FOURTH JOINT INVENTOR

Citizen of:

Signature of Inventor

Post Office Address:

Date _____

Residence:

NAME OF FIFTH JOINT INVENTOR

Citizen of:

Signature of Inventor

Post Office Address:

Date _____



OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Applicant or Patent Owner: Krzysztof Matyjaszewski et al
Serial or Patent No.: 08/414,415 Atty. Dkt. No.: 7057-001-95
Filed or Issued: March 31, 1995
For: NOVEL (CO) POLYMERS AND A NOVEL POLYMERIZATION PROCESS BASED ON ATOM (OR GROUP) TRANSFER RADICAL POLYMERIZATION

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled NOVEL (CO) POLYMERS AND A NOVEL POLYMERIZATION PROCESS BASED ON ATOM (OR GROUP) TRANSFER RADICAL POLYMERIZATION described in

() the specification filed herewith
(xx) application serial no. 08/414,415, filed March 31, 1995
() patent no. _____, issued _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below.

(xx) no such person, concern, or organization
() persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to the status as small entities. (37 CFR 1.27)

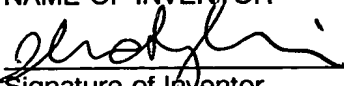
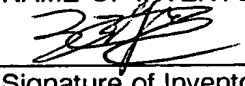
FULL NAME _____
ADDRESS _____
() INDIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION

FULL NAME _____
ADDRESS _____
() INDIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION

FULL NAME _____
ADDRESS _____
() INDIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any application any patent issuing thereon, or any patent to which this verified statement is directed.

<u>Krzysztof Matyjaszewski</u>	<u>Jin-Shan Wang</u>	
NAME OF INVENTOR	NAME OF INVENTOR	NAME OF INVENTOR
		
Signature of Inventor	Signature of Inventor	Signature of Inventor
<u>6-14-95</u>	<u>6-14-95</u>	
Date	Date	Date